

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Siegfried ANSORGE et al

Serial No. : 10/575,883

I. A. Filed : October 15, 2004



Confirmation No. 4705

Group Art Unit: 1612

Examiner: Sznaidman Marcos L.

For : NOVEL DIPEPTIDYL PEPTIDASE IV INHIBITORS USED FOR  
FUNCTIONALLY INFLUENCING DIFFERENT CELLS AND  
TREATING IMMUNOLOGICAL, INFLAMMATORY, NEURONAL,  
AND OTHER DISEASES

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and §§ 1.97 – 1.98, Applicant(s) wish to bring the following information to the attention of the Examiner.

Applicant(s) wish to make of record the documents listed on the attached form PTO-1449. Copies of the listed documents are attached, where required, as are noted English translations, or partial translations of pertinent portions, and/or English language abstracts, of any non-English language references. Statements of relevancy are also noted.

## COMMONLY ASSIGNED

- ☐ Listed on the attached form PTO-1449 are certain of Applicant's commonly assigned pending application(s), published application(s) or issued patent(s). In accordance with 37 CFR § 1.98(a)(2)(ii), copies of the cited U.S. pending applications, published applications and/or issued patents, are not provided.

## STATEMENT OF RELEVANCY

- ☐ Documents \* \* have been cited in an International Search Report and/or Written Opinion (copy attached), which indicates the relevancy thereof to the claims of the International Application. Copies of documents cited in the International Search Report should have been forwarded by the International Bureau and thus have not been provided.

- ☒ Documents B, C, E have been cited in the corresponding Japanese Search Report and/or Written Opinion (copy attached), which indicates the relevancy of the cited documents. Any necessary copy of these documents is being submitted herewith.
- ☐ Documents \* \* are cited in the Specification of the present application. Any necessary copy of these documents is being submitted herewith.
- ☐ Documents \* \* have been cited in the parent application(s) either by the Applicant(s) or by the examiner. Copies of these documents should be available in the parent application(s) and have not been provided.
- ☒ Documents D/E and A/C are related as patent family members.

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. This certification does not apply to any document previously cited in the present application.

**FEES**

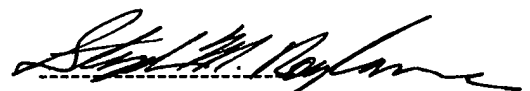
- ☐ A payment in the amount required under 37 CFR §1.17(p) is provided herewith.

**DEPOSIT ACCOUNT**

- ☒ In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 19-0089 of the undersigned.

If there should be any questions, the Examiner is invited to contact the undersigned at the telephone number listed below.

Respectfully submitted,  
Siegfried ANSORGE et al.



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March 15, 2010  
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